

# Grammarware is Everywhere *and What to Do about That?*

Paul Klint



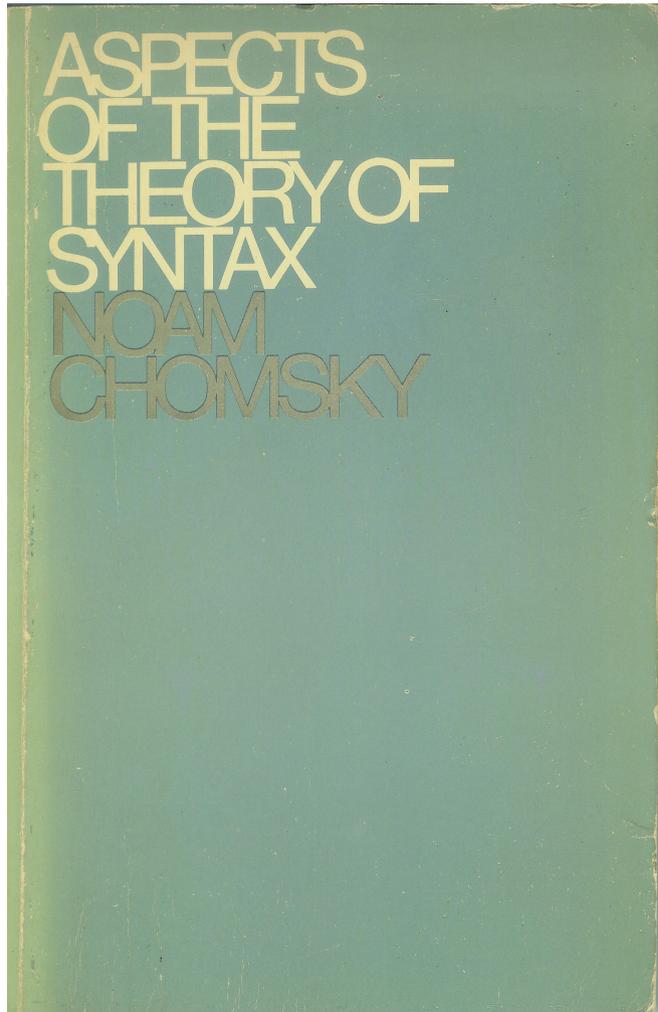
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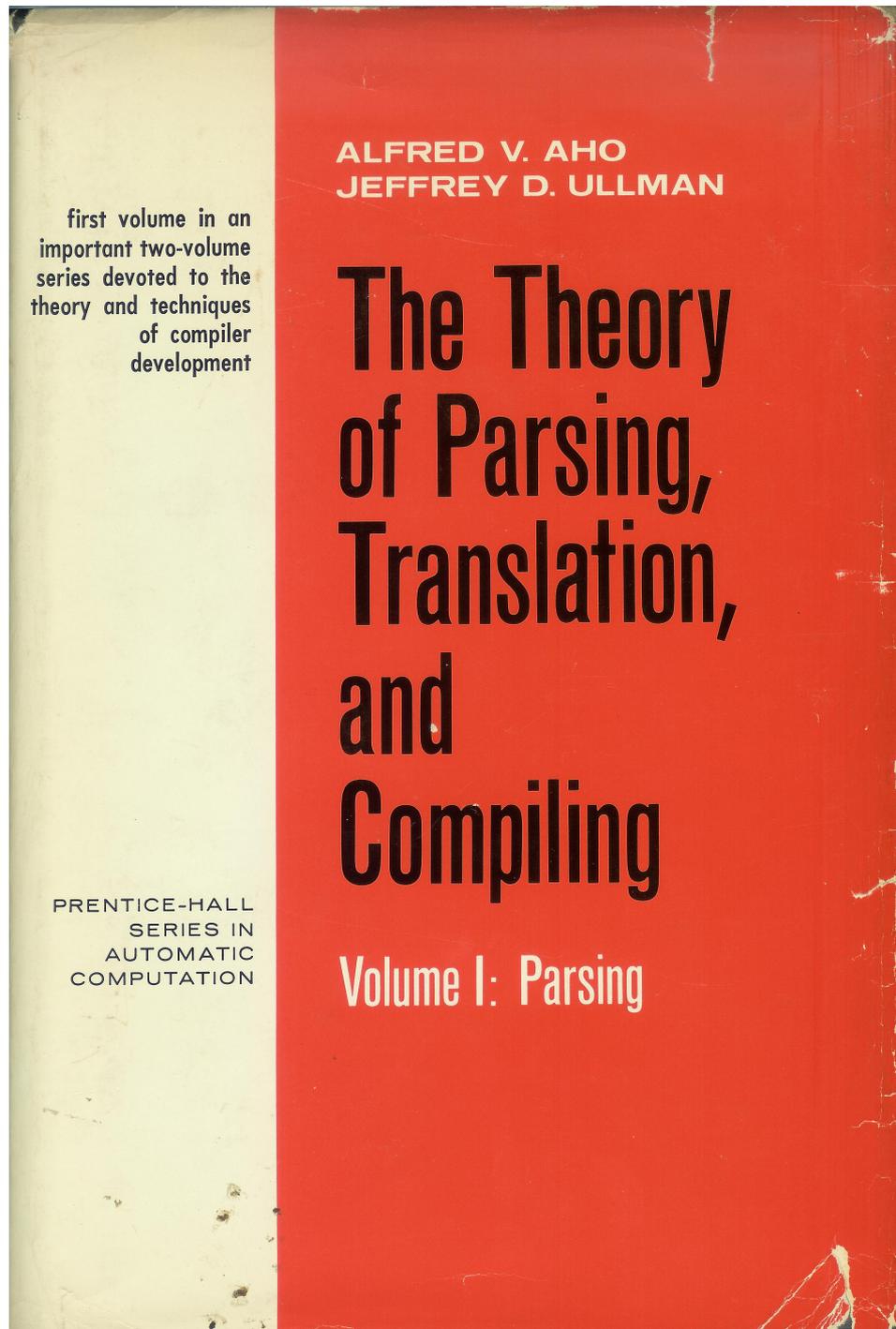


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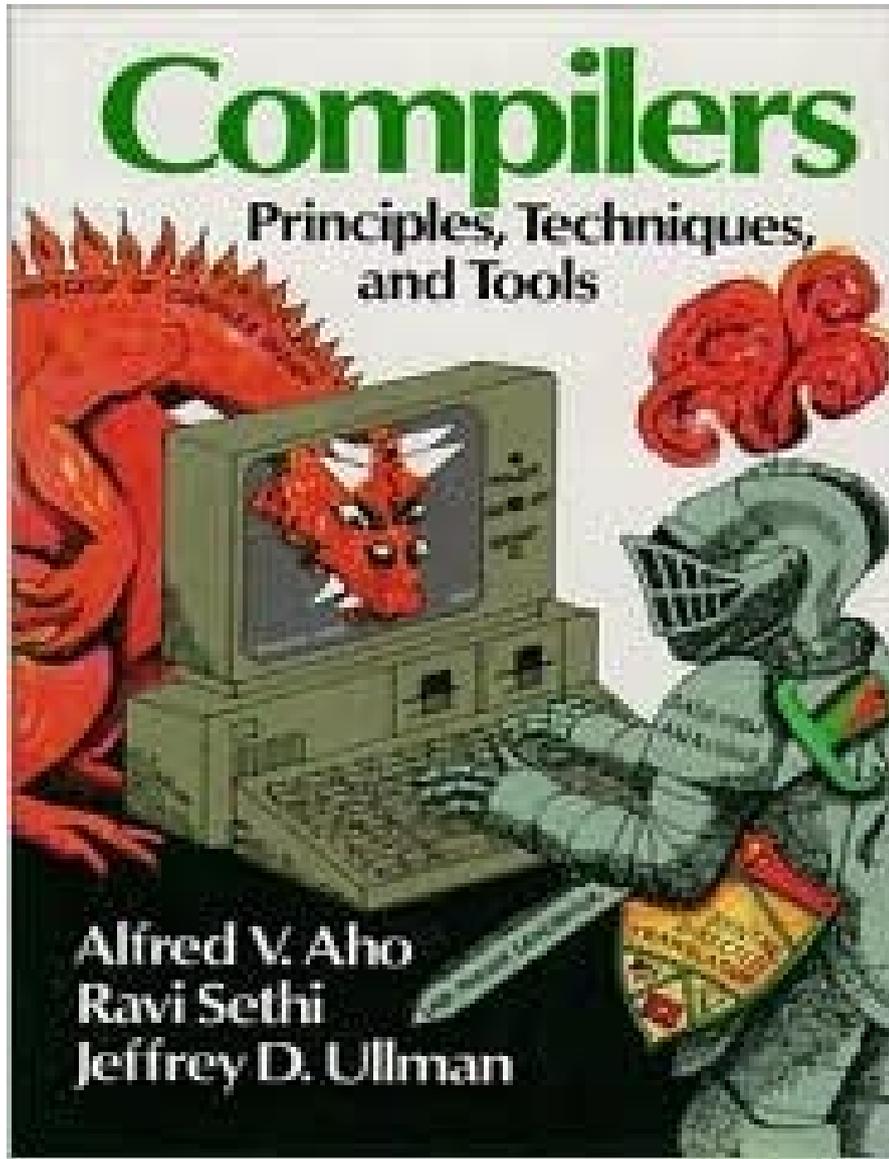
# Grammars and Languages are one of the most established areas of Computer Science



N. Chomsky,  
Aspects of the theory of syntax,  
1965



A.V. Aho & J.D. Ullman,  
The Theory of Parsing,  
Translation and Compiling,  
Parts I + II,  
1972



A.V. Aho, R. Sethi,  
J.D. Ullman,  
Compiler, Principles,  
Techniques and Tools,  
1986



# Is Research on Grammars and Parsing Dead?

Why?

Why Not?

# Why?

- The most obvious and visible results have been achieved:
  - Grammar classes
  - Parsing algorithms
  - Complexity
  - Decidability
- The use of parsing in compilers is standard
- Parsing is just one (relatively simple) aspect of compiling

# Why Not?

## The Use Cases are changing:

- From batch use to interactive use
- From single language to multiple language
- From compiling to understanding and renovation
- From standard language to domain-specific language
- From textual grammar to graphical model
- ...

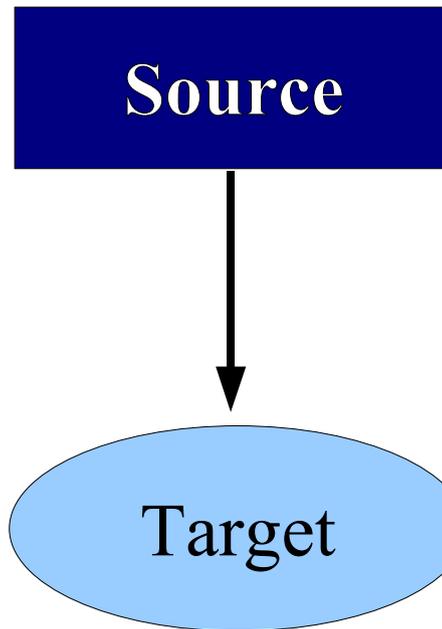
# Grammar Use Case #1: Compilation versus Understanding

# Compilation is ...

- A **well-defined process** with well-defined input, output and constraints
- **Input**: source program in a fixed language with well-defined syntax and semantics
- **Output**: a fixed target language with well-defined syntax and semantics
- **Constraints** are known (correctness, performance)
- A **batch-like** process

# Compilation is ...

Single,  
well defined,  
source



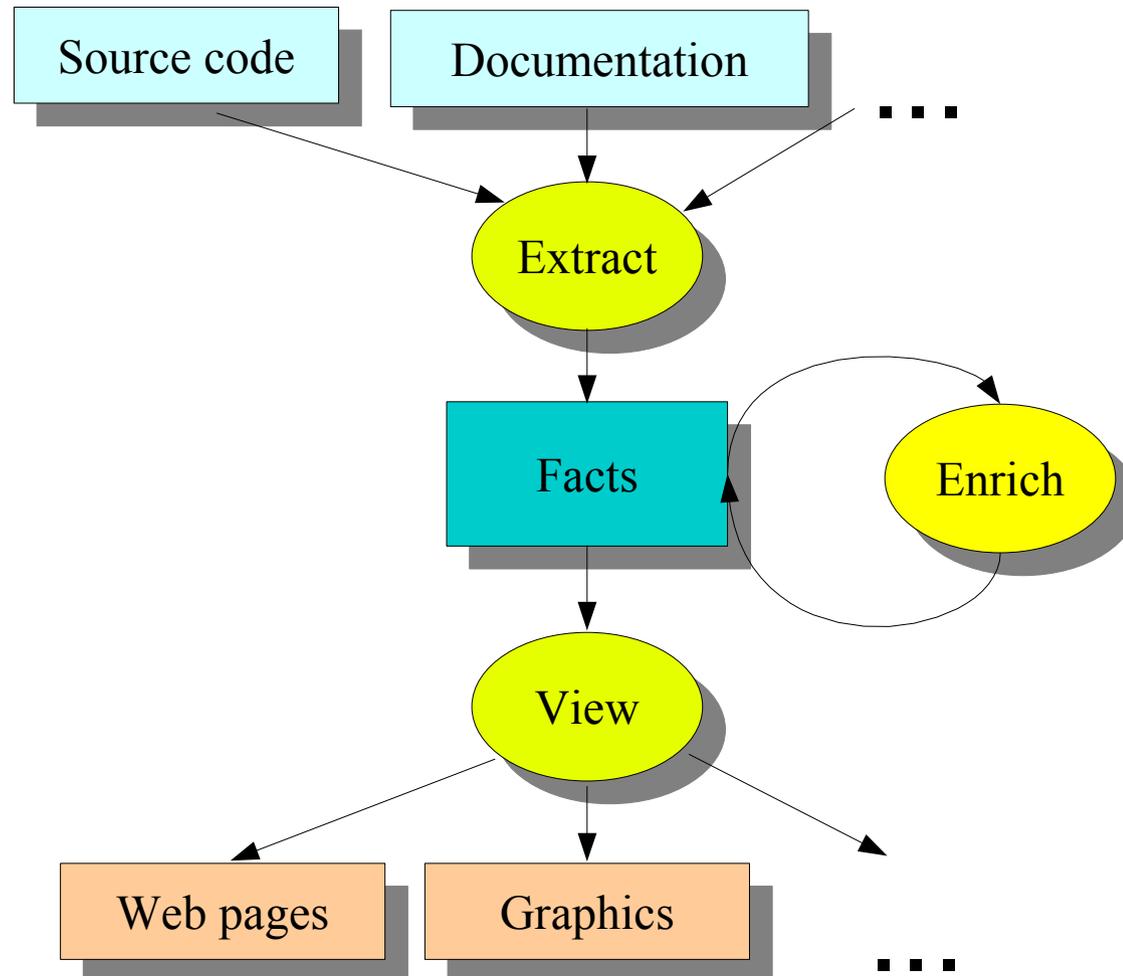
Single,  
well  
defined,  
target

A batch-like process with  
clear constraints

# Understanding is ...

- An exploration process with as input
  - system artifacts (source, documentation, tests, ...)
  - implicit knowledge of its designers or maintainers
- There is no clear target language
- An interactive process:
  - **Extract** elementary facts
  - **Abstract** to get derived facts needed for analysis
  - **View** derived facts through visualization/browsing

# Extract-Enrich-View Paradigm



# Examples of understanding problems

- Which programs call each others?
- Which programs use which databases?
- If we change this database record, which programs are affected?
- Which programs are more complex than others?
- How much code clones exist in the code?

# Grammar Use Case #1: Summary

There is a **mismatch** between

- standard compilation techniques and
- the needs for understanding and restructuring

# Grammar Use Case #2: Software Renovation

# Some cars need maintenance ...

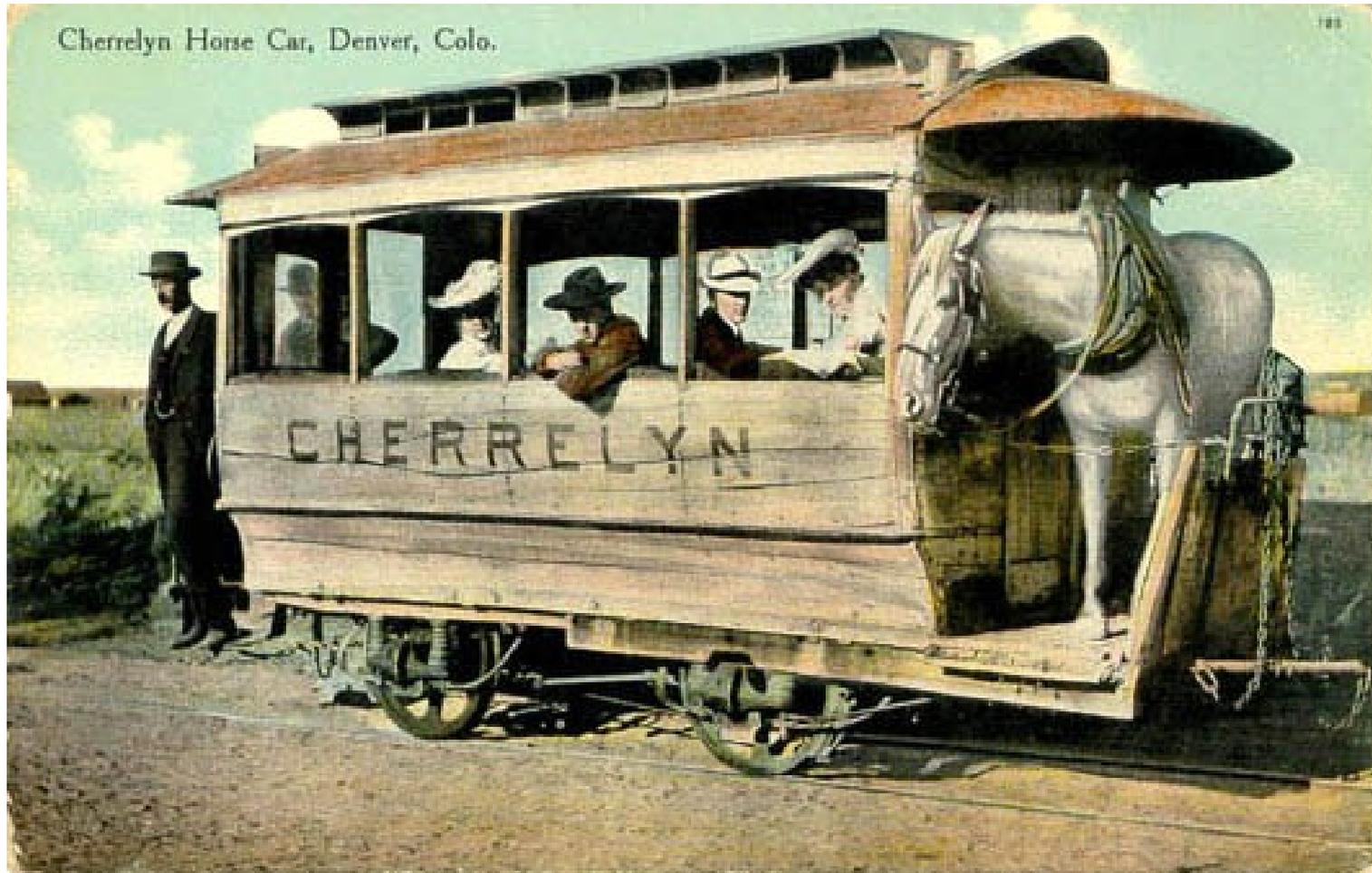


... to replace proven technology ...



1931 Patrol  
Car

*... or proven-technology-inside ...*



Cherrellyn Horse Car, Denver Colorado

# Legacy Software

- The total volume of software is estimated at  $7 * 10^9$  *function points*
- 1 FP = 128 lines of C or 107 lines of COBOL
- The volume of this volcano is
  - 750 Giga-lines of COBOL code, or
  - 900 Giga-lines of C code

Printed on paper we can wrap planet Earth  
9 times!



# We need techniques for ...

- Global program understanding
  - Who calls who? What is the module structure?
  - Conformance with software architecture?
- Detailed program analysis
  - Where are buffer overflows?
  - Does lock/unlock occur on every path?
- Program transformation
  - Dialect conversion
  - API upgrades

# In more detail, we need ...

- Syntax analysis of various language mixtures
- Extracting facts from source code
- Computing with these facts
- Producing reports, visualisations, ...
- Transform source code according to given rules

# Grammar Use Case #3: Domain-specific Languages





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# The Inuit

- The Inuit live in Canada and Greenland
- Their language is called Inuktitut:  $\Delta_{\text{b}}^{\text{c}}$
- Inuktitut has many words for the concept *snow*:
  - Fresh snow, Old snow, Frozen snow, Powder snow, etc.
- Inuktitut is (from my nerd's  perspective) a *domain specific language* for describing snow

# Domain-specific Languages

- Efficient way to capture domain concepts
- Reduce development time by code generation
- Increase flexibility and infrastructure independence

# We need techniques for ...

- Analyzing domain concepts
- Defining the syntax of a DSL
- Defining consistency rules on DSL programs
- Defining code generation from DSL program to software infrastructure

# Observations based on Use Cases

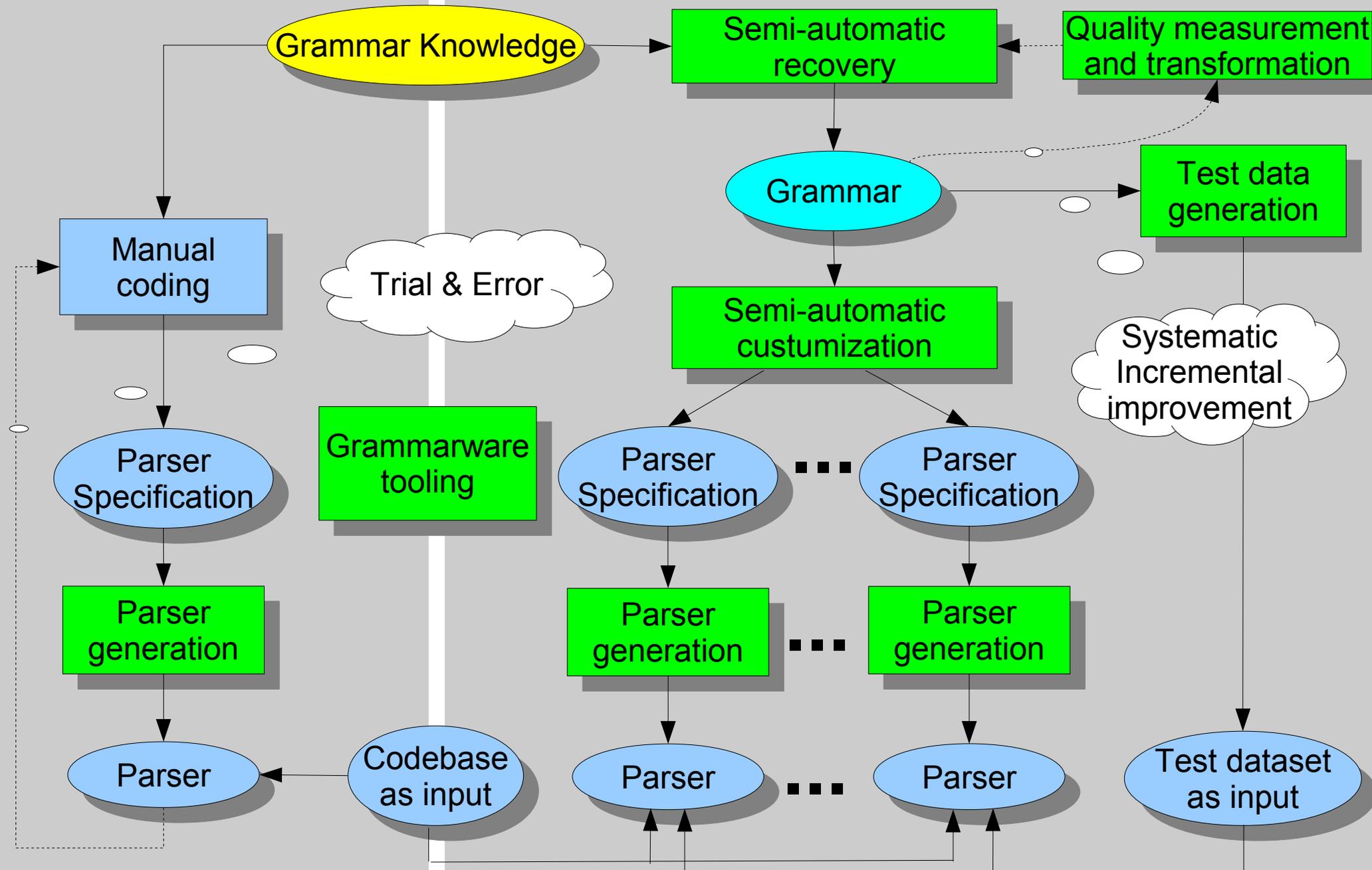
- Grammars include definitions for
  - Context-free syntax
  - Class dictionaries
  - XML schema's
  - Tree/graph grammars
- Grammars are used for
  - concrete/abstract syntax of programming languages
  - Exchange formats
  - API's

# Observations based on Use Cases

- All grammar-dependent software (“grammarware”) evolves over time
- For source code development we use software engineering best-practices
- **There is no such thing as grammarware engineering**
  - But see: P. Klint, R. Laemmel, C. Verhoef, Towards an Engineering Discipline for Grammarware, ACM TOSEM, 14(3) 331—380, 2005.

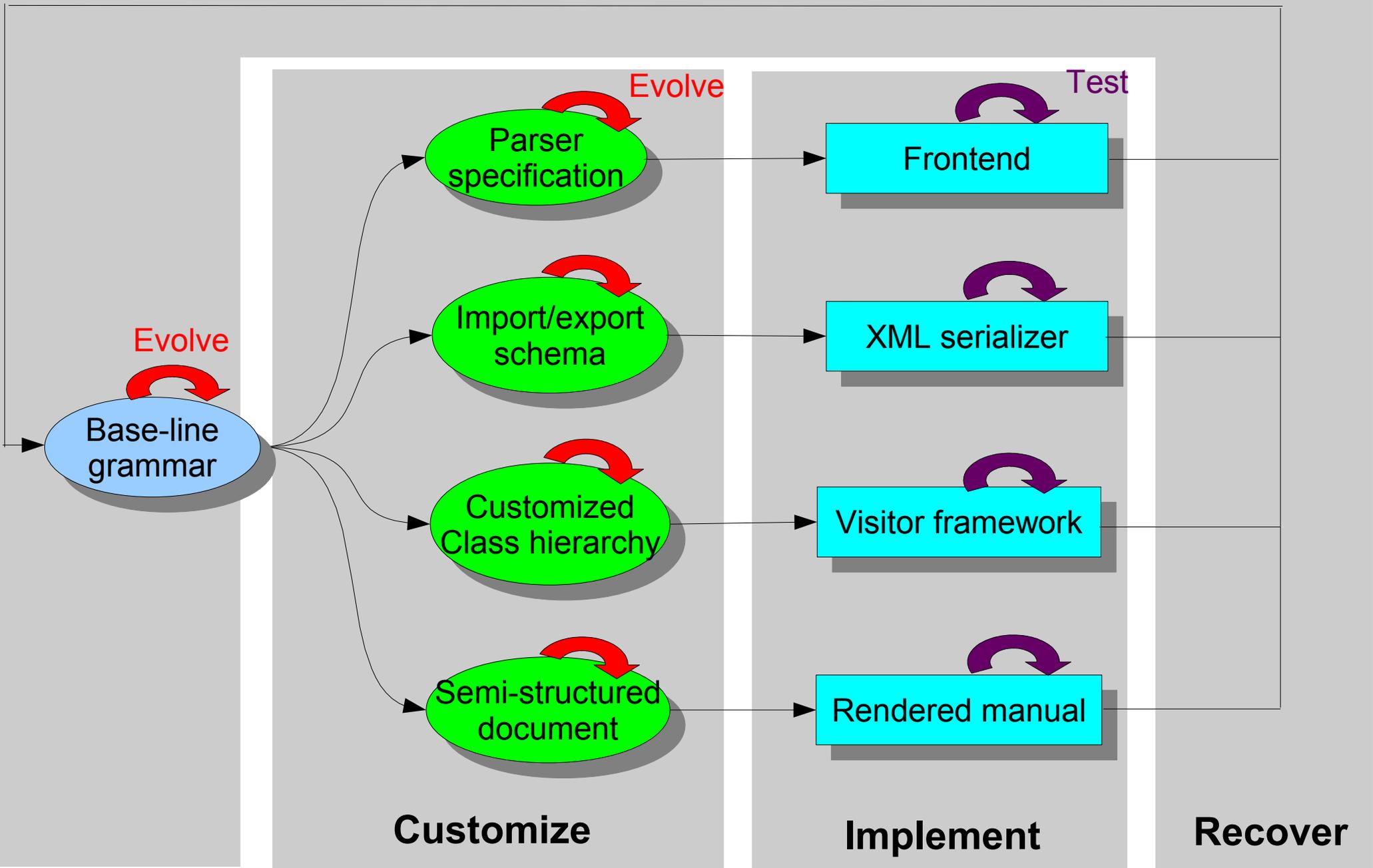
# Grammarware Hacking

# Grammarware Engineering



# Grammarware Research Questions

- How to provide modular grammars?
- What is a “good” grammar?
- How to transform grammars (and maintain the link with dependent software)
- How to uncover grammars from grammar-dependent source code?
- How to test grammar-dependent functionality?
- How does the grammarware “lifecycle” look like?



# How can we ...

- Describe syntax & semantics of languages?
- Build tools for grammarware engineering?
- Make these tools programming language independent?
  - **Generic** language technology, also called
  - **Language-parametric** technology

# Generic Language Technology (GLT)

- Goal: Enable the easy creation of language-specific tools and programming environments
- Separate **language-specific** aspects from **generic** aspects
- Approach:
  - Find good, reusable, solutions for generic aspects
  - Find ways to define language-specific aspects
  - Find ways to generate tools from language-specific definitions

# Generic aspects

- User-interface
  - Text editing, error messages, spell checking
- Program storage
- Version managment
- Documentation & help facilities

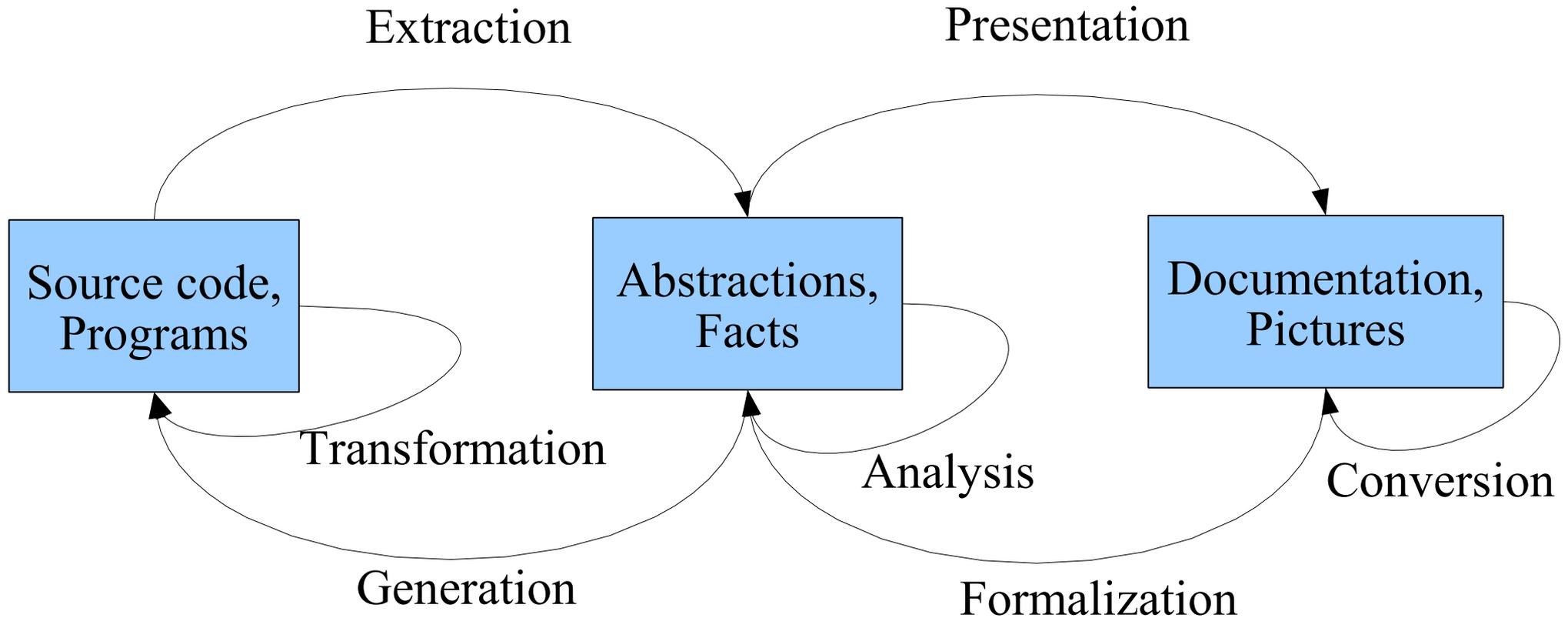
# Defining Language Aspects

- **Syntax**: the form of programs
  - Context-free grammar (**SDF**)
- **Static semantics**: compile-time properties
  - Algebraic specification/rewrite rules (**ASF**)
  - Relational calculus (**RScript**)
- **Dynamic semantics**: run-time properties
  - Algebraic specification/rewrite rules

# Generate Tools from Definitions

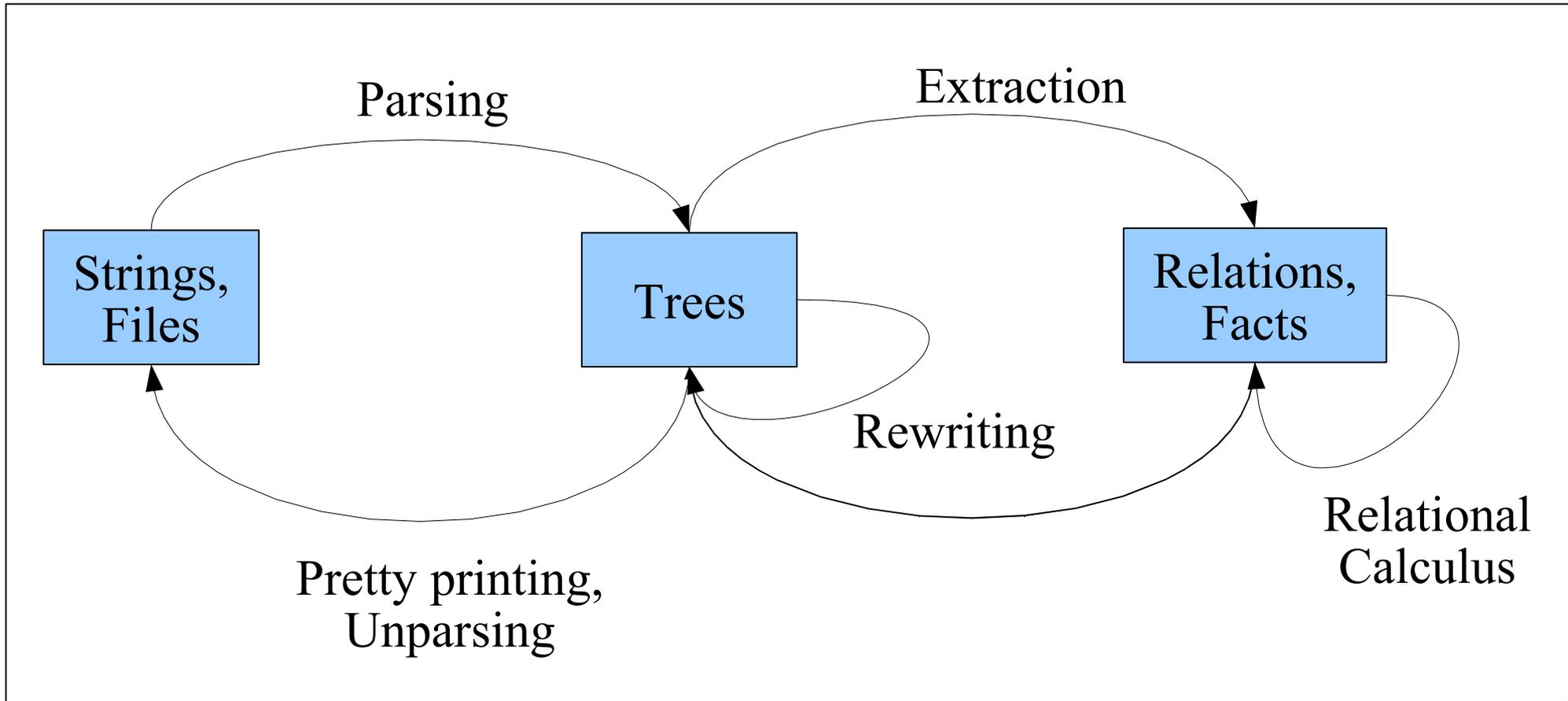
- Context-free syntax
  - Parser generator
- Abstract syntax
  - API generator
- Static semantics
  - Term rewriting compiler
  - Relational Calculator
- Dynamics semantics
  - Term rewriting compiler

# Role of GLT



*Generic Language Technology helps implementing translations between source code representations*

# Technology used for GLT





# How to connect these technologies?

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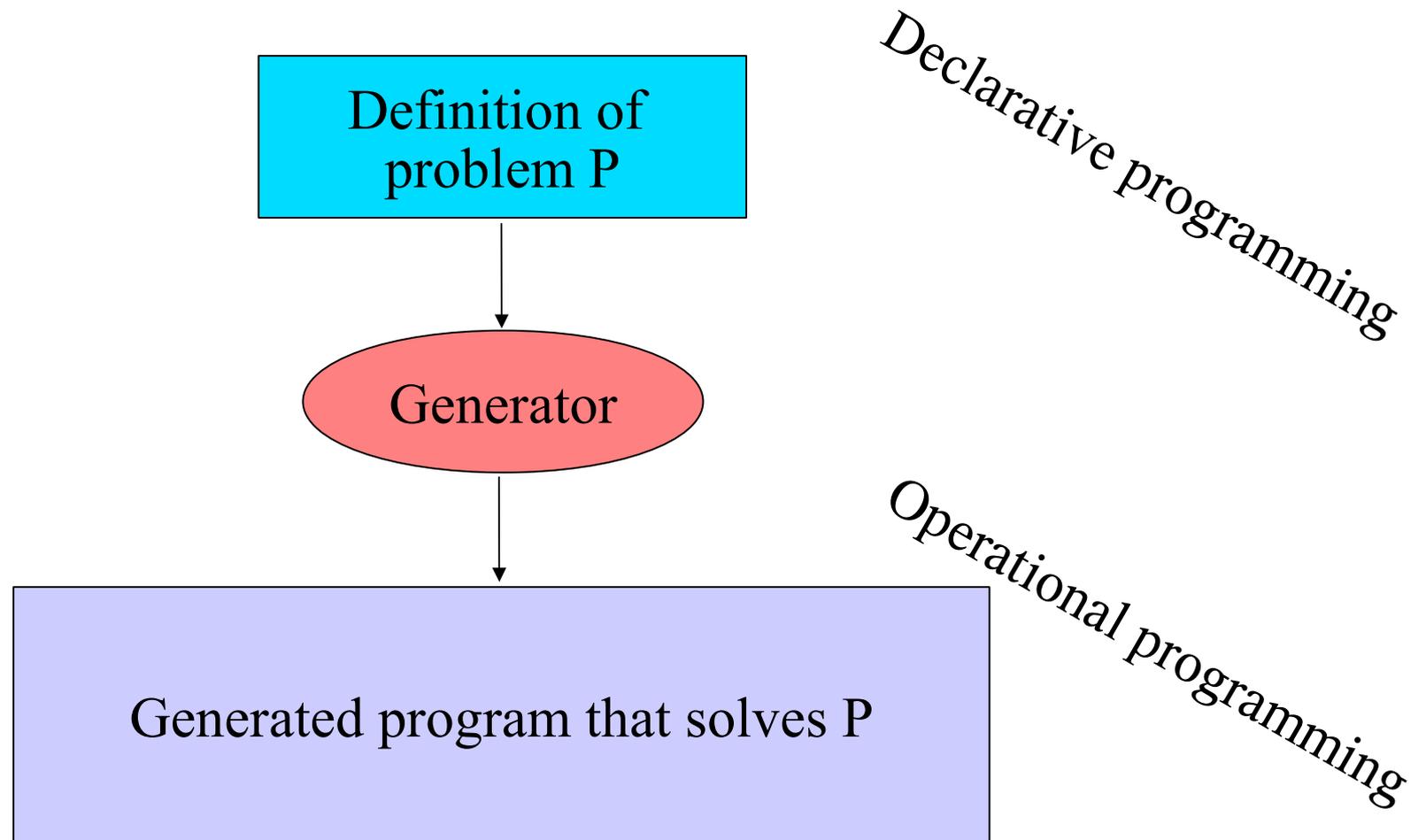
# Technology integration: Partial Answers

- Program generators
  - To be discussed next
- Middleware, like the ToolBus
  - not discussed in this presentation
- Integration in a single linguistic framework
  - See discussion on Rascal, at end of talk

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# A Program Generator (PG)?



# Examples of Program Generators

- Regular expression matching:
  - Problem: recognize regular expressions  $R_1, \dots, R_n$  in a text
  - Generate: finite automaton
- Web sites
  - Problem: create uniform web site for given content
  - Generate: HTML code with uniform navigation and structure

# Examples of Program Generation

- Compiler
  - Input Java program
  - Generates: JVM code
- C preprocessor
  - Input: C program with `#include`, `#define`, ... directives
  - Generates: C program with directives replaced.

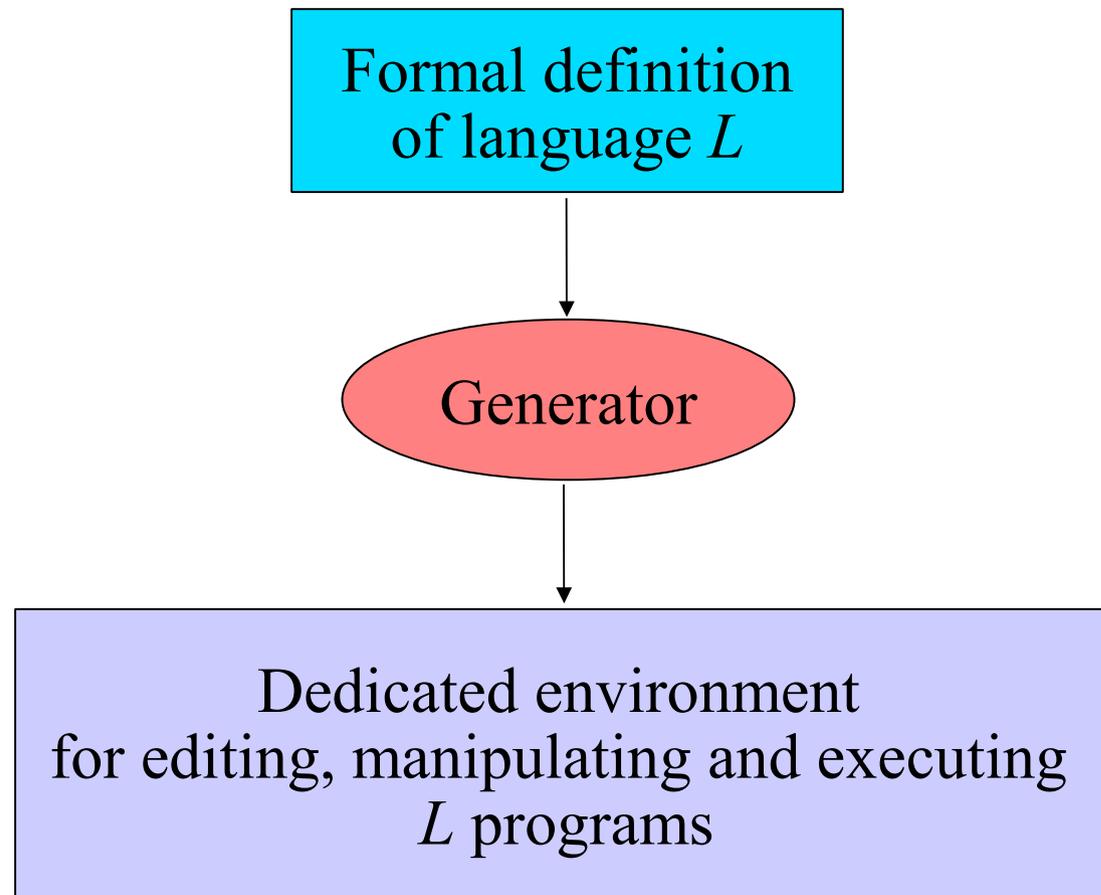
# From Program Generator ...

- Problem description is specific and is usually written in a Domain-Specific Language (DSL)
- Generator contains generic algorithms and information about application domain.
- A PG isolates a problem description from its implementation  $\Rightarrow$  easier to switch to other implementation methods.
- Improvements/optimizations in the generator are good for all generated programs.

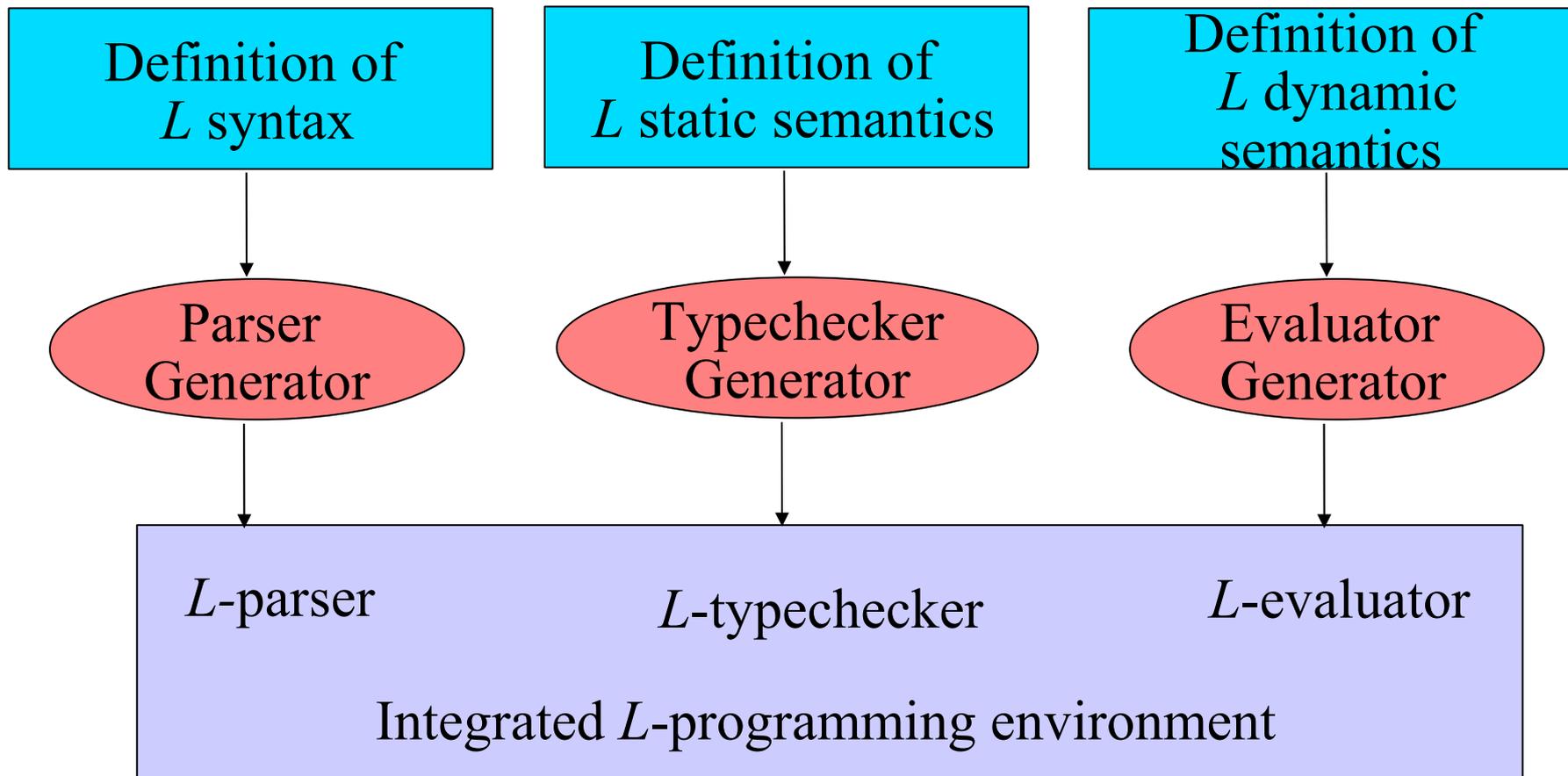
# ... to Programming Environment Generator (PEG)

- A PEG is a program generator applied in the domain of programming environments
- Input: description of desired language  $L$
- Output: (parts of) dedicated  $L$ -environment
- Advantages:
  - Uniform UI across different languages
  - PEG contains generic, re-usable, implementation knowledge
- Disadvantages: some specializations not easy

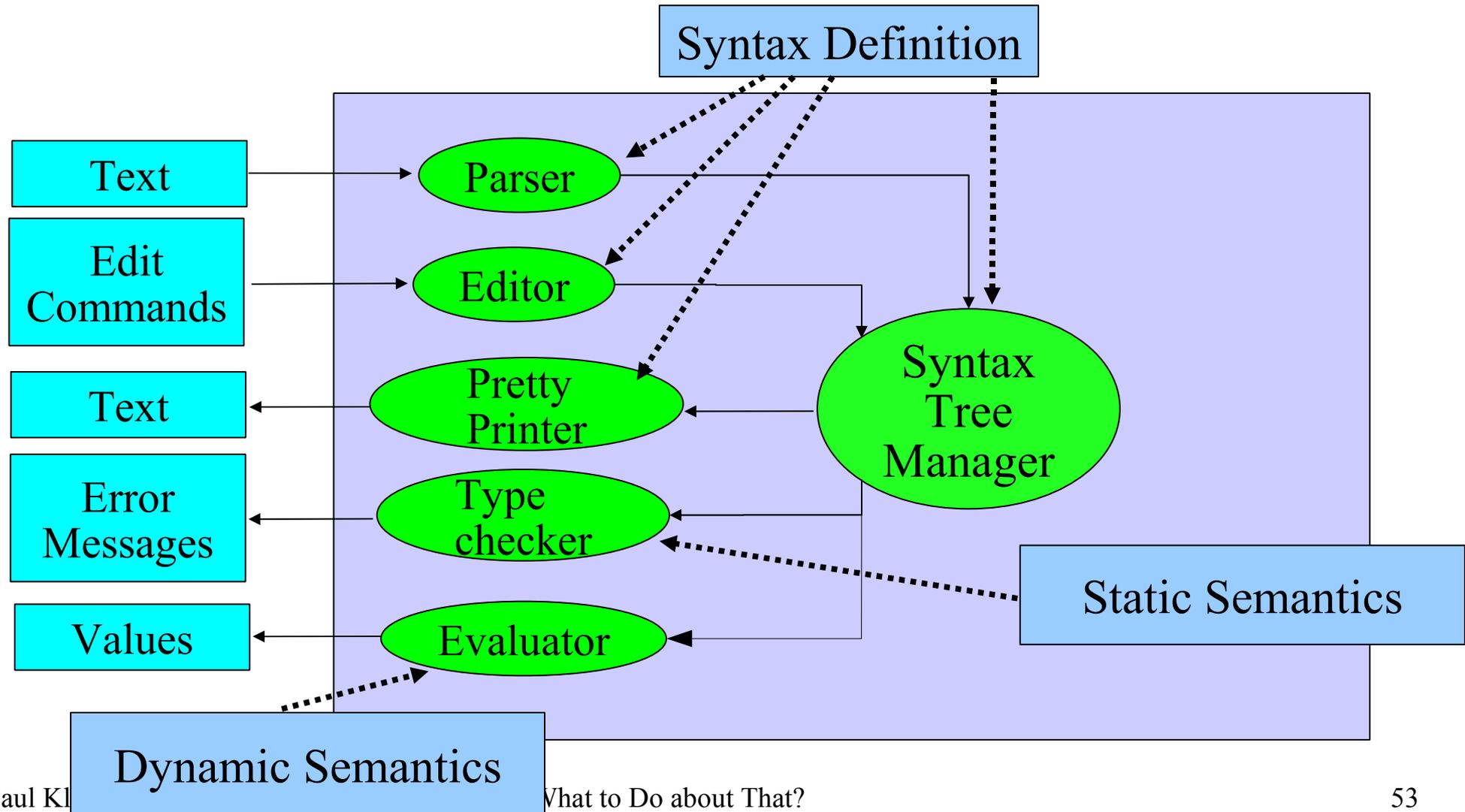
# Programming Environment Generator



# PEG = collection of program generators



# From Definitions to Components



# PEG: other definable aspects

- Lexical syntax
- Concrete syntax
- Abstract syntax
- Pretty printing
- Editor behaviour
- Dataflow
- Control flow
- Program Analysis
- Program Queries
- Evaluation rules
- Compilation rules
- User Interface
- Help rules
- ...

# A PEG example: ASF+SDF Meta-Environment

- An interactive development environment for generating tools from formal language definitions
- Based on:
  - Full context-free grammars
    - Needed to obtain modular grammar composition
  - Conditional term rewriting
  - Relational calculus

# Provides various DSLs

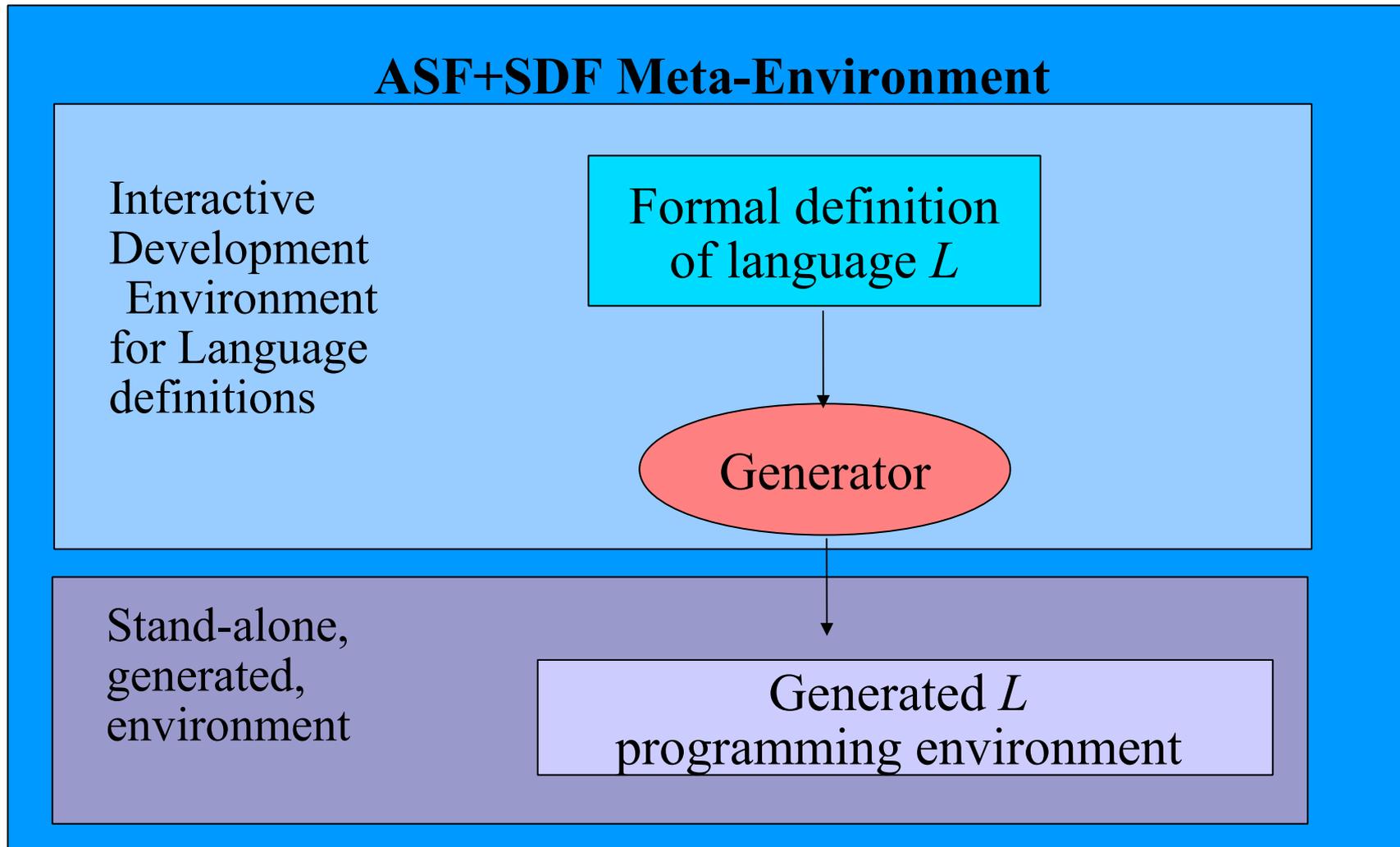
Languages definitions are based on various DSLs:

- Syntax Definition Formalism (**SDF**)
- Algebraic Specification Formalism (**ASF**)
- Relational Scripts (**RScript**)
- Formatting (**Pandora**)

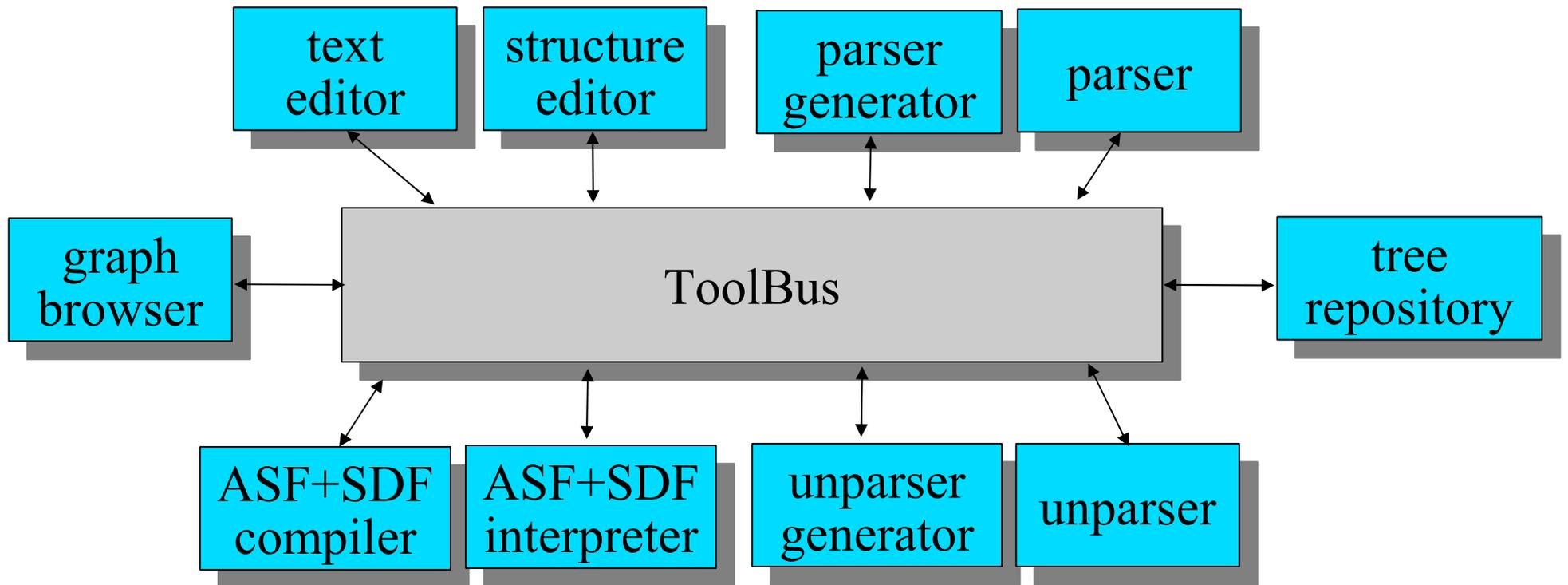
The implementation uses:

- ToolBus Scripts (**TScript**) for coordination of tools

# ASF+SDF Meta-Environment



# Architecture of the ASF+SDF MetaEnvironment



# ASF+SDF Specifications

- Series of modules that can import each other
- Module can be parameterized; renaming
- Each module consists of two parts:
  - SDF-part defines lexical and context-free syntax, priorities and variables
  - ASF-part defines arbitrary functions, e.g. for typechecking, fact extraction, analysis, evaluation, transformation, ...

# ASF+SDF

- One of the most innovative features of ASF+SDF is fully user-definable notation:
  - Instead of a fixed function notation, a function is described by a syntax rule
  - Enables writing rules in concrete syntax and not in abstract syntax (see example below)

# ASF+SDF

Surprisingly, these simple techniques scale to large applications. The pattern is always:

- define a syntax (Booleans, numbers, programs in C, Java, Cobol)
- define functions on terms in this syntax (and, plus, addEndIf)
- apply to examples of interest

# Example: Cobol transformation

- Cobol 75 has two forms of conditional:
  - "IF" Expr "THEN" Stats "END-IF"?
  - "IF" Expr "THEN" stats "ELSE" Stats "END-IF"?
- *Dangling else* problem:

```
| IF expr THEN  
|   | IF expr THEN  
|   |   stats  
|   | ELSE  
|   |   stats
```

```
| IF expr THEN  
|   | IF expr THEN  
|   |   stats  
|   | ELSE  
|   |   stats
```

# Example: Cobol transformation

```
module End-If-Trafo ●
```

Add missing END-IF keywords

```
imports Cobol
```

```
exports
```

```
context-free syntax
```

```
addEndIf(Program)-> Program {traversal(trafo,continue,top-down)}
```

```
variables
```

```
"Stats"[0-9]* -> StatsOptIfNotClosed
```

```
"Expr"[0-9]* -> L-exp
```

```
"OptThen"[0-9]* -> OptThen
```

Impossible to do with regular expression tools like **grep** since conditionals can be nested

```
equations
```

```
[1] addEndIf(IF Expr OptThen Stats) =
```

```
IF Expr OptThen Stats END-IF ●
```

Equations for the two cases

```
[2] addEndIf(IF Expr OptThen Stats1 ELSE Stats2) =
```

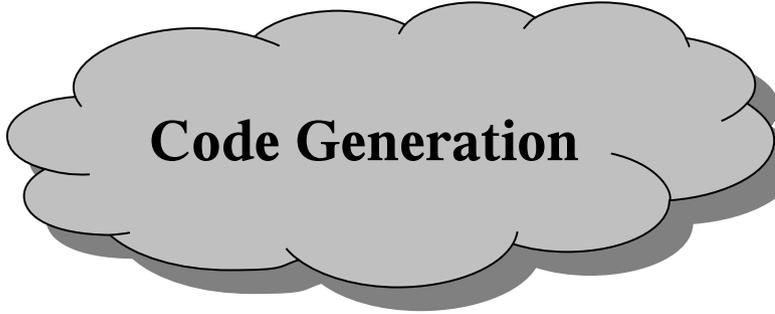
```
IF Expr OptThen Stats1 ELSE Stats2 END-IF
```

# ReCap



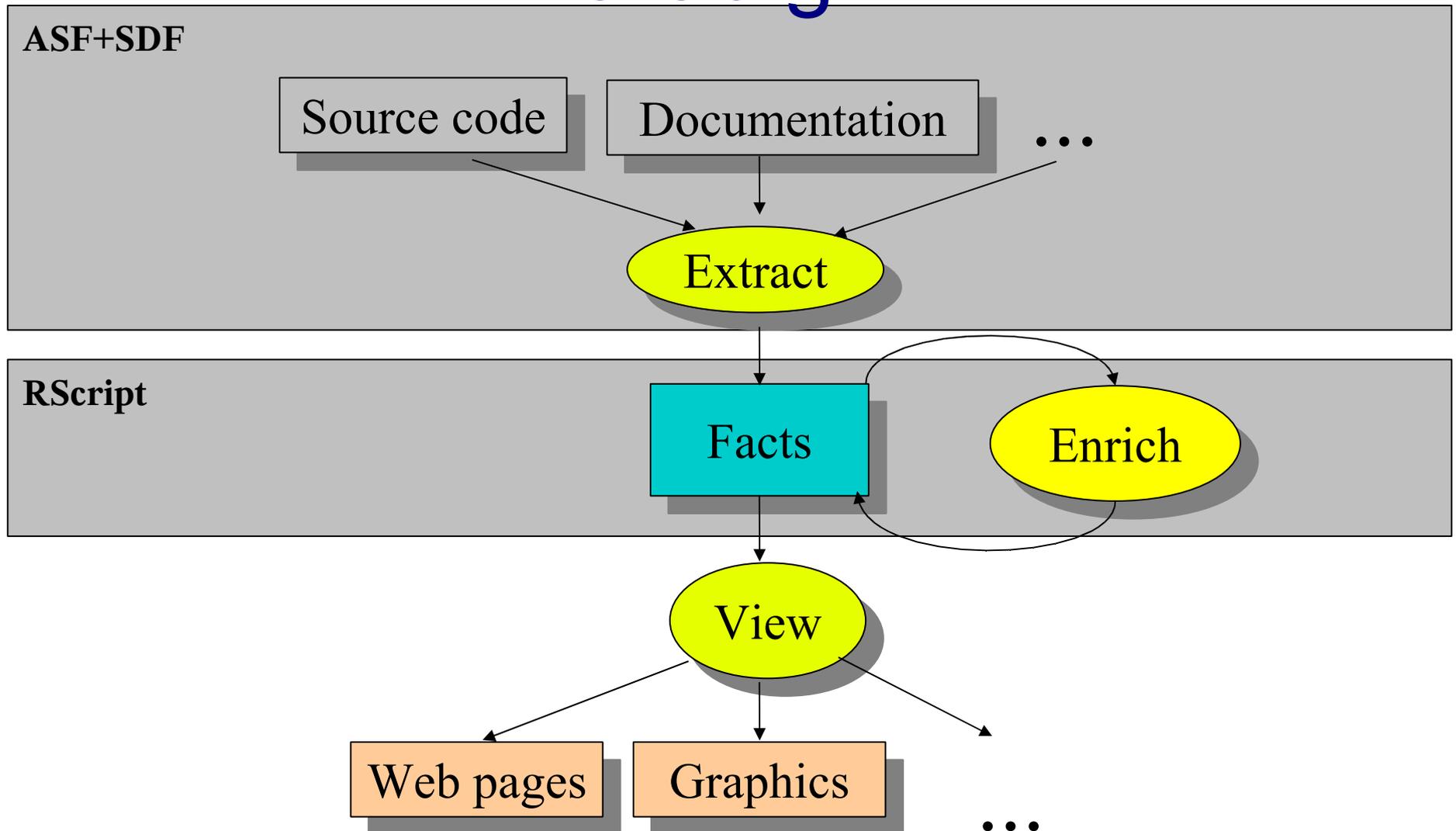
“Everything is  
a Language”

- ASF+SDF takes care of
  - Syntax definition
  - Transformation
  - Fact extraction
- How about software analysis?
  - Can be done with rewrite rules
  - Relational Calculus adds flexibility & conciseness

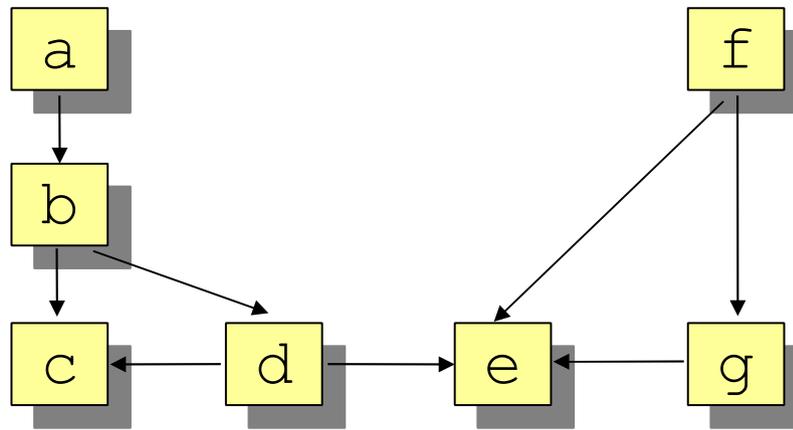


Code Generation

# Recall: Extract-Enrich-View Paradigm

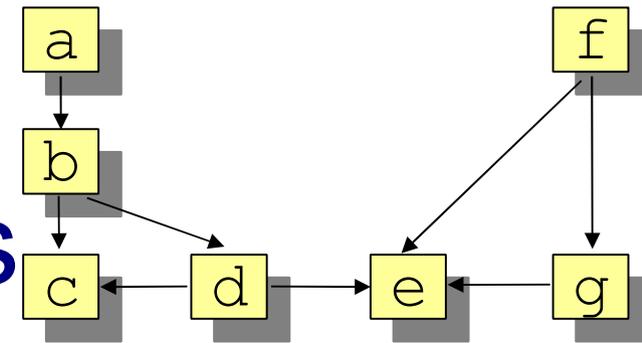


# Analyzing the call structure of an application



$\text{rel}[\text{str}, \text{str}] \text{ calls} = \{ \langle "a", "b" \rangle, \langle "b", "c" \rangle, \langle "b", "d" \rangle, \langle "d", "c" \rangle, \langle "d", "e" \rangle, \langle "f", "e" \rangle, \langle "f", "g" \rangle, \langle "g", "e" \rangle \}$

# Some questions



- What are the entry points?

- `set[str] entryPoints = top(calls)`

- {"a", "f"}

The *roots* of a relation  
(viewed as a graph)

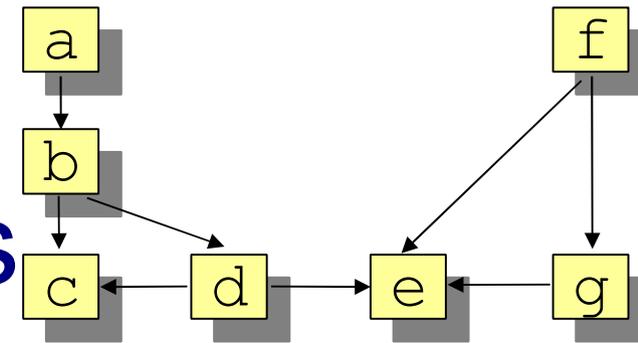
- What are the leaves?

- `set[str] bottomCalls = bottom(calls)`

- {"c", "e"}

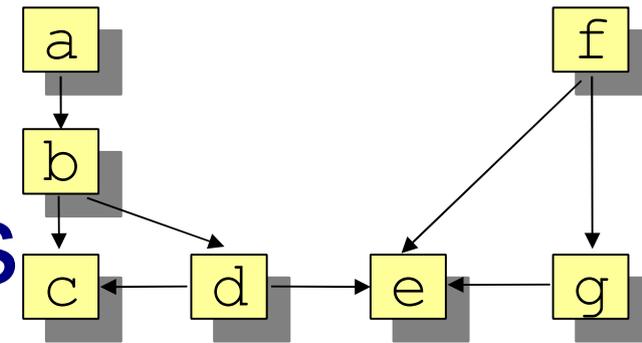
The *leaves* of a relation  
(viewed as a graph)

# Some questions



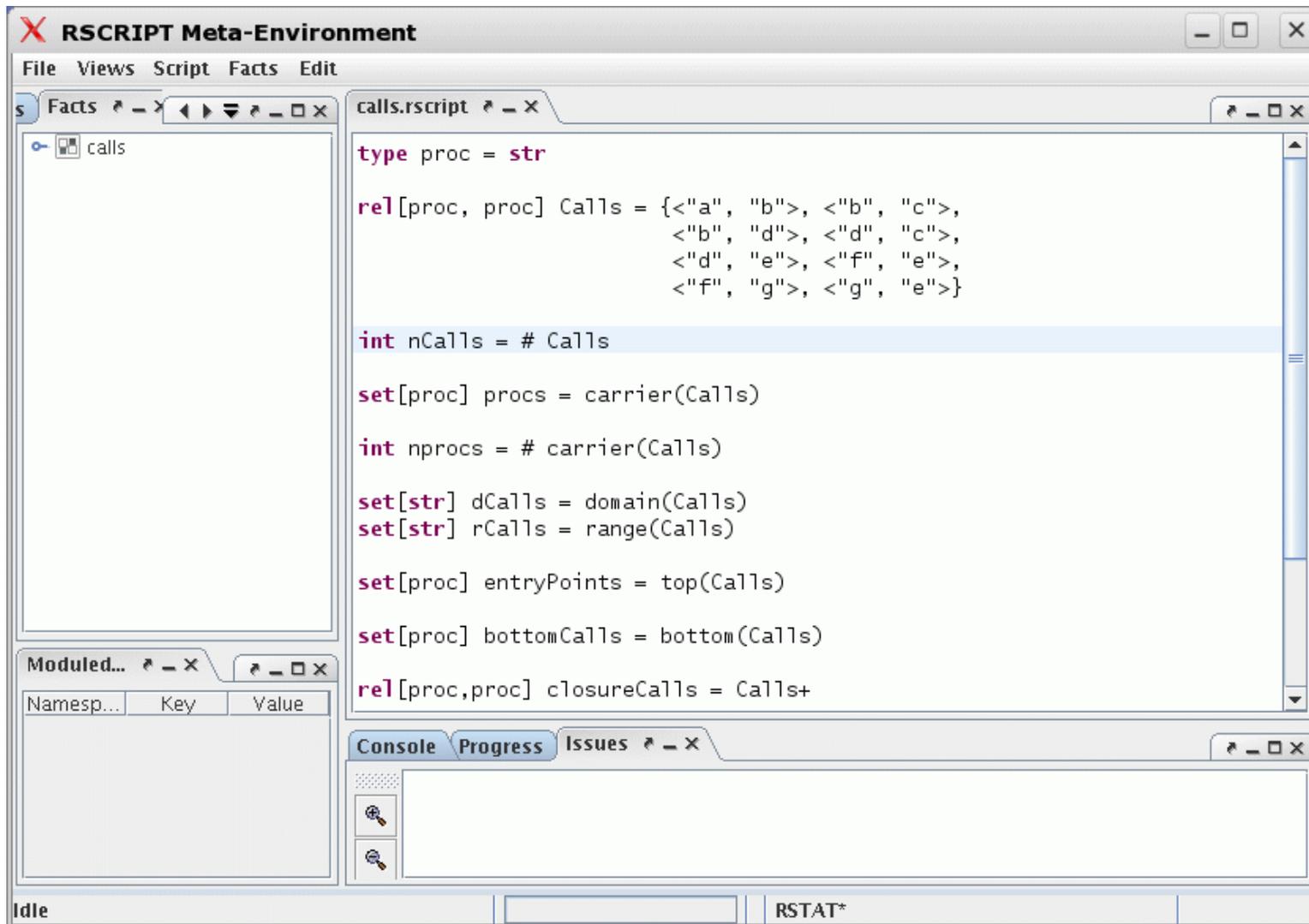
- What are the indirect calls between procedures?
  - `rel[str,str] closureCalls = calls+`
  - `{<"a", "b">, <"b", "c">, <"b", "d">, <"d", "c">, <"d", "e">, <"f", "e">, <"f", "g">, <"g", "e">, <"a", "c">, <"a", "d">, <"b", "e">, <"a", "e">}`
- What are the calls from entry point `a`?
  - `set[str] calledFromA = closureCalls["a"]`
  - `{"b", "c", "d", "e"}`

# Some questions



- What are the calls from entry point `f`?
  - `set[str] calledFromF = closureCalls["f"]`
  - `{"e", "g"}`
- What are the common procedures?
  - `set[str] commonProcs =`  
`calledFromA inter calledFromF`
  - `{"e"}`

# Script -> Run



# Unfolding the rstore ...

The screenshot shows the RSCRIPT Meta-Environment IDE. The main window is titled "calls.rscript" and contains the following code:

```
type proc = str
rel[proc, proc] Calls = {<"a", "b">, <"b", "c">,
                        <"b", "d">, <"d", "c">,
                        <"d", "e">, <"f", "e">,
                        <"f", "g">, <"g", "e">}

int nCalls = # Calls
set[proc] procs = carrier(Calls)
int nprocs = # carrier(Calls)
set[str] dCalls = domain(Calls)
set[str] rCalls = range(Calls)
set[proc] entryPoints = top(Calls)
set[proc] bottomCalls = bottom(Calls)
rel[proc,proc] closureCalls = Calls+
```

The left sidebar shows a project tree with the following structure:

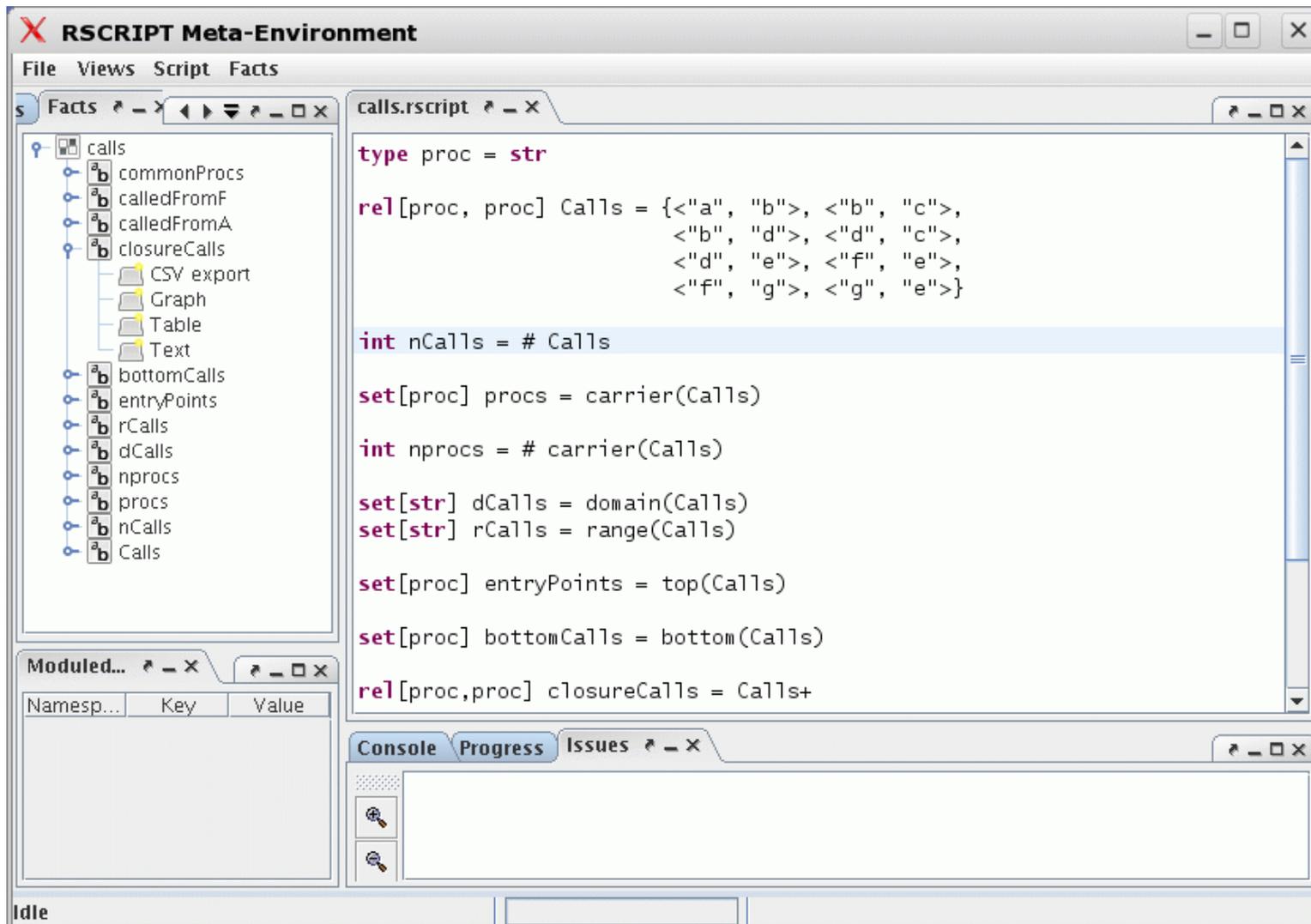
- calls
  - commonProcs
  - calledFromF
  - calledFromA
  - closureCalls
  - bottomCalls
  - entryPoints
  - rCalls
  - dCalls
  - nprocs
  - procs
  - nCalls
  - Calls

The bottom of the IDE shows a "Moduled..." panel with a table:

Namesp...	Key	Value
-----------	-----	-------

Below the table are tabs for "Console", "Progress", and "Issues". The status bar at the bottom indicates "Idle".

# Unfolding closureCalls



The screenshot shows the RSCRIPT Meta-Environment IDE. The main window is titled "calls.rscript" and contains the following code:

```
type proc = str
rel[proc, proc] Calls = {<"a", "b">, <"b", "c">,
                        <"b", "d">, <"d", "c">,
                        <"d", "e">, <"f", "e">,
                        <"f", "g">, <"g", "e">}

int nCalls = # Calls

set[proc] procs = carrier(Calls)

int nprocs = # carrier(Calls)

set[str] dCalls = domain(Calls)
set[str] rCalls = range(Calls)

set[proc] entryPoints = top(Calls)

set[proc] bottomCalls = bottom(Calls)

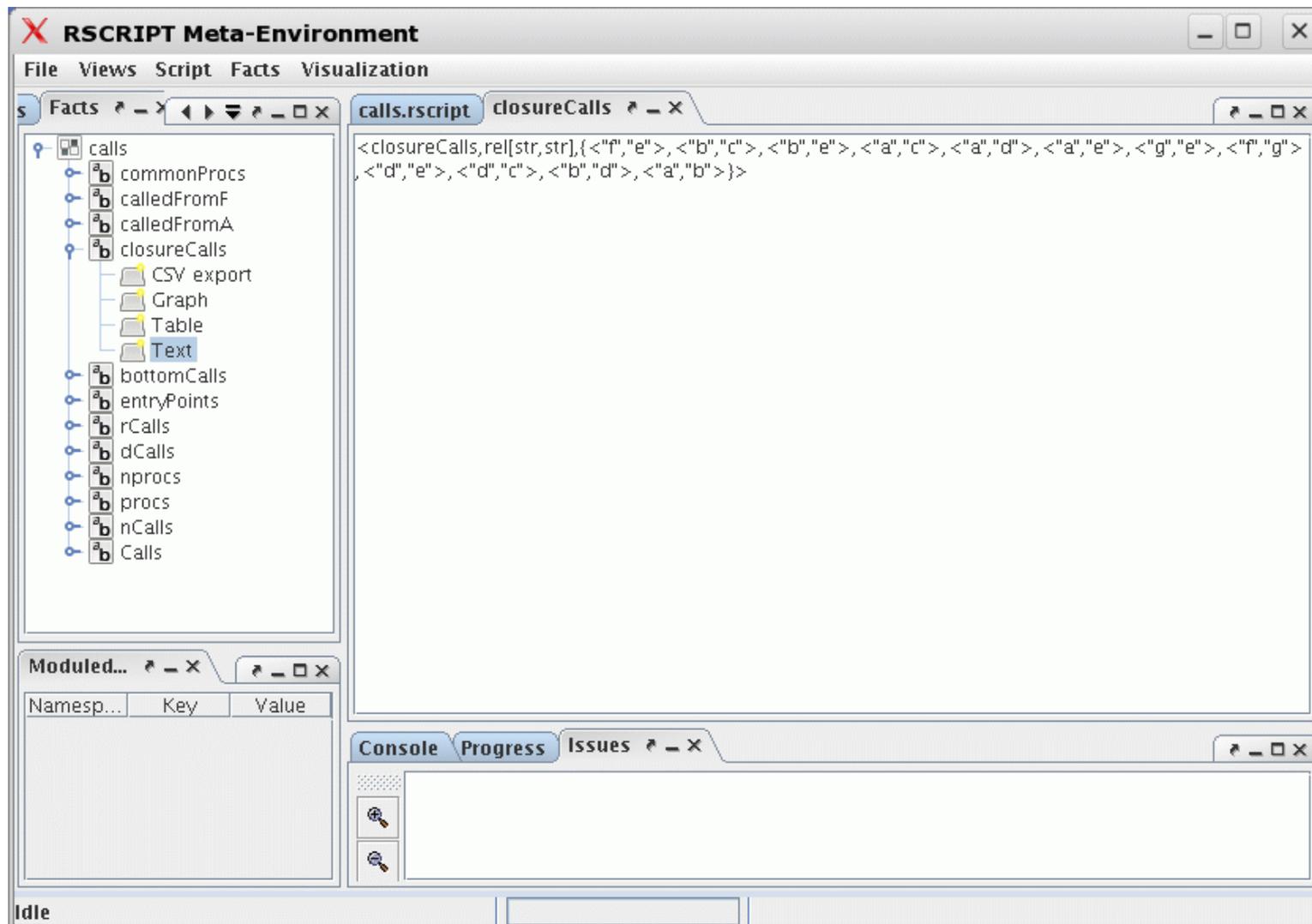
rel[proc,proc] closureCalls = Calls+
```

The left sidebar shows a project tree with the following structure:

- calls
  - commonProcs
  - calledFromF
  - calledFromA
  - closureCalls
    - CSV export
    - Graph
    - Table
    - Text
  - bottomCalls
  - entryPoints
  - rCalls
  - dCalls
  - nprocs
  - procs
  - nCalls
  - Calls

The bottom panel shows a "Moduled..." table with columns "Namesp...", "Key", and "Value". The console area is currently empty.

# closureCalls as Text

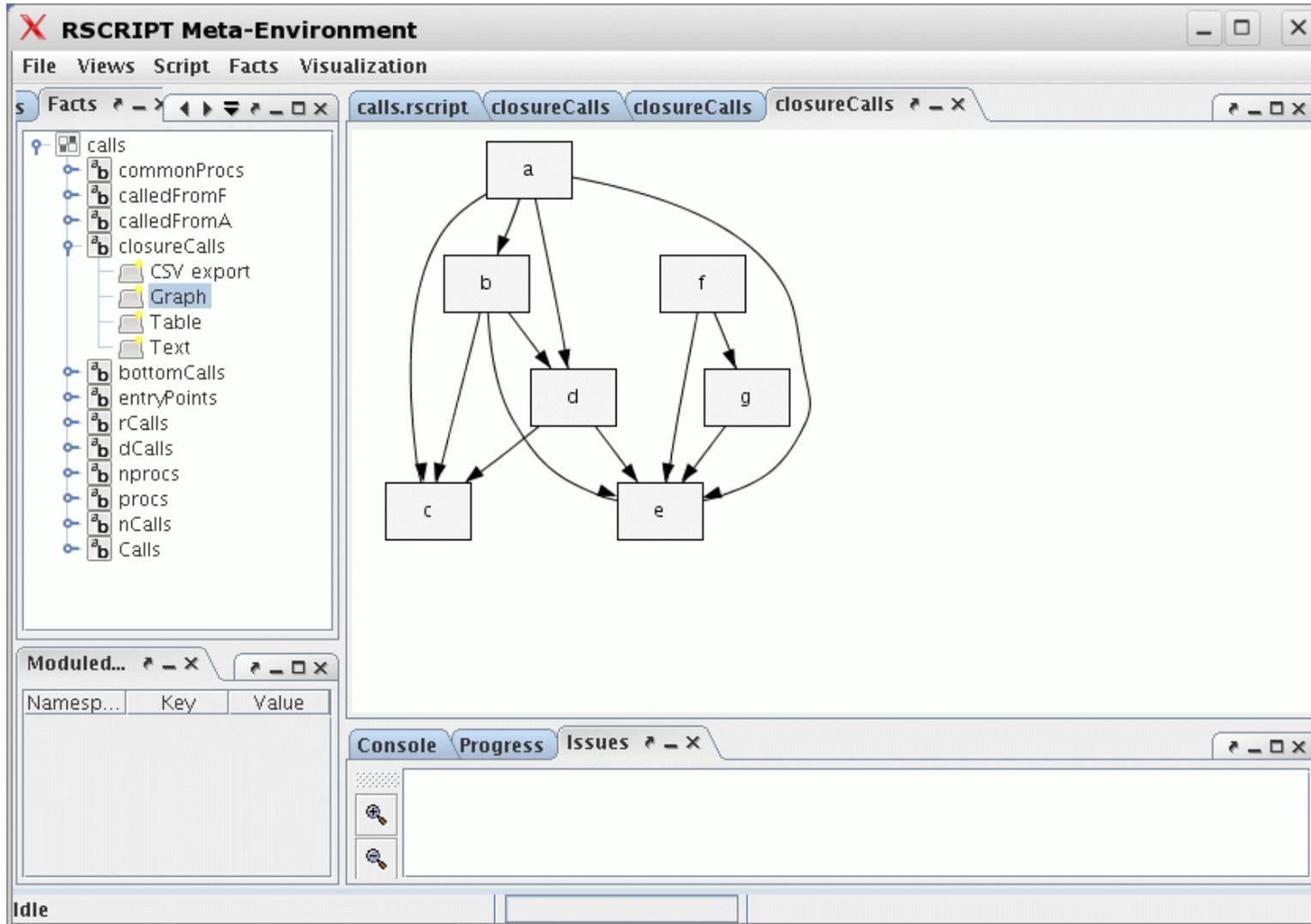


# closureCalls as Table

The screenshot shows the RSCRIPT Meta-Environment interface. On the left, a tree view under 'calls' includes 'closureCalls', which is expanded to show options: 'CSV export', 'Graph', 'Table' (selected), and 'Text'. The main window displays a table with two columns: 'str [0]' and 'str [1]'. The table contains 15 rows of data. Below the table is a 'Moduled...' section with a table with columns 'Namesp...', 'Key', and 'Value'. At the bottom, there are tabs for 'Console', 'Progress', and 'Issues', and a status bar showing 'Idle'.

str [0]	str [1]
a	b
b	d
d	c
d	e
f	g
g	e
a	e
a	d
a	c
b	e
b	c
f	e

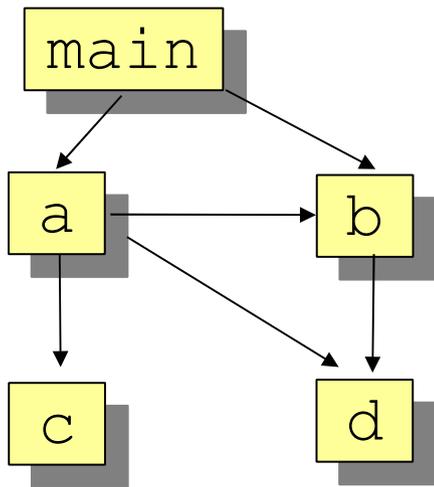
# closureCalls as Graph



# Component Structure of Application

- Suppose, we know:
  - the call relation between procedures (*Calls*)
  - the component of each procedure (*PartOf*)
- Question:
  - Can we lift the relation between procedures to a relation between components (*ComponentCalls*)?
- This is useful for checking that real code conforms to architectural constraints

# Calls

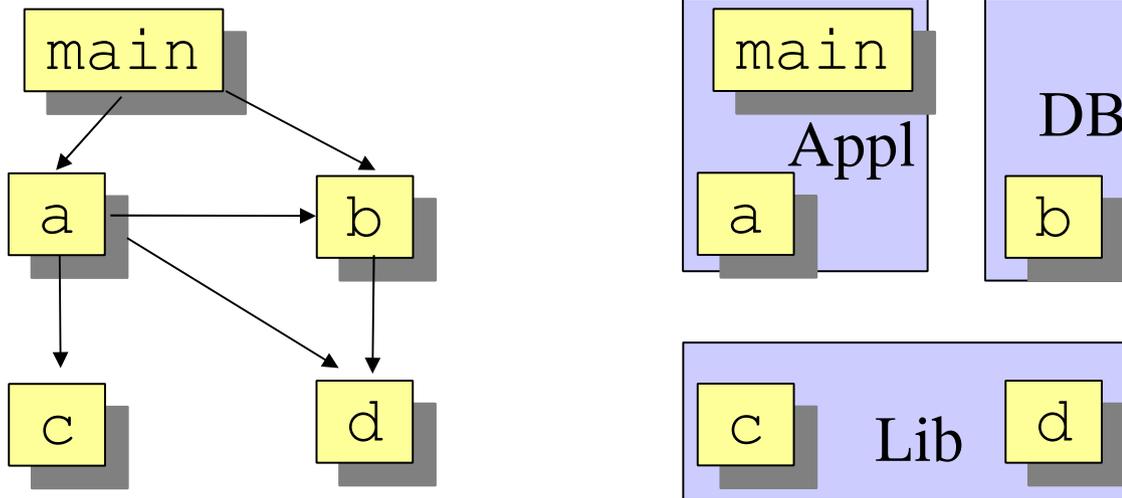


type proc = str

type comp = str

rel[proc,proc] Calls = {<"main", "a">, <"main", "b">, <"a", "b">, <"a", "c">, <"a", "d">, <"b", "d">}

# PartOf



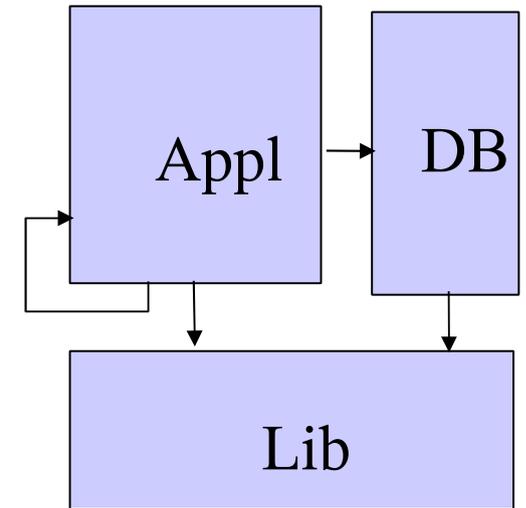
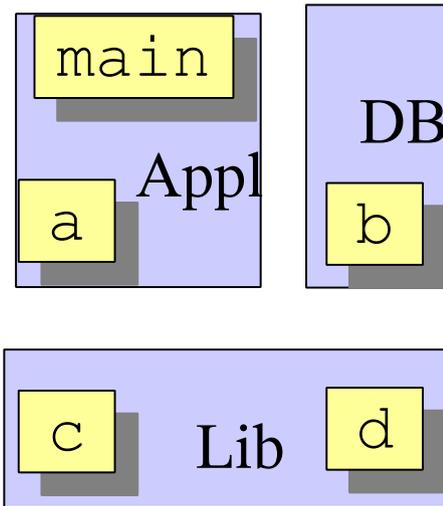
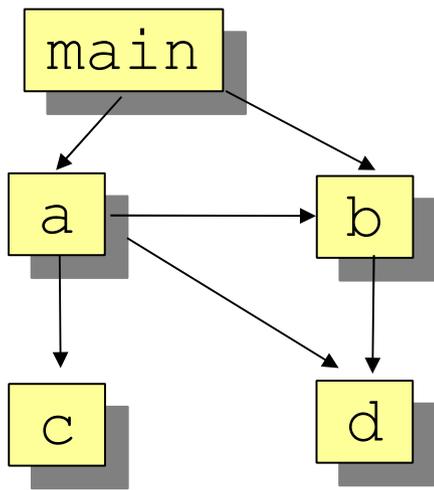
```
set[comp] Components = {"Appl", "DB", "Lib"}
```

```
rel[proc, comp] PartOf =
```

```
{<"main", "Appl">, <"a", "Appl">, <"b", "DB">,
```

```
<"c", "Lib">, <"d", "Lib">}
```

# lift



$\text{rel}[\text{comp}, \text{comp}] \text{lift}(\text{rel}[\text{proc}, \text{proc}] \text{aCalls}, \text{rel}[\text{proc}, \text{comp}] \text{aPartOf}) =$   
 $\{ \langle C1, C2 \rangle \mid \langle \text{proc } P1, \text{proc } P2 \rangle : \text{aCalls},$   
 $\quad \langle \text{comp } C1, \text{comp } C2 \rangle : \text{aPartOf}[P1] \times \text{aPartOf}[P2] \}$   
 $\text{rel}[\text{comp}, \text{comp}] \text{ComponentCalls} = \text{lift}(\text{Calls2}, \text{PartOf})$

**Result:**  $\{ \langle \text{"DB"}, \text{"Lib"} \rangle, \langle \text{"Appl"}, \text{"Lib"} \rangle, \langle \text{"Appl"}, \text{"DB"} \rangle, \langle \text{"Appl"}, \text{"Appl"} \rangle \}$

# The good news

- ASF+SDF in use for many analysis & transformation projects
- User-definable syntax + conditional rewrite rules + relational calculus is a good feature set for this domain
- Performance is ok (regular winner of rewrite competitions)

# The bad News

- Missing features
  - Fact extraction turns out to be *the* bottleneck
  - => **DeFacto**: annotated grammars
- Mixture of formalisms increases learning curve
- Underlying mechanisms not easy to understand for the average programmer
- We are still struggling with grammarware issues
  - How to develop, test, improve grammars?

# Technology integration: Partial Answers

- **Program generators**
- **Middleware, like the ToolBus**
  - not discussed in this presentation
- **Integration in a single linguistic framework**
  - Discussion on Rascal

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# Rascal: new scripting language for analysis and transformation

- Small learning curve for Java programmers
- Build on top of Java, easy access to the whole Java infrastructure
- Integration with Eclipse
- Suited for analysis, refactoring & transformation
- First target: simplifying refactorings in Eclipse
- Second target: a grammarware laboratory

# Features

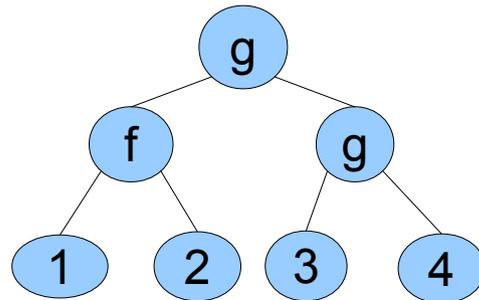
- Full context-free parsing (re-uses SDF)
- Matching (regular, abstract, concrete)
- Rich datatypes (based on Rscript)
- Conditional rewrite rules *and* functions
- Control structures geared to support matching, tree traversal and local backtracking
- Comprehensions (list, set, map)
- Generators in comprehensions can range over abstract and parse tree datatypes

# Rascal datatypes

- **Atomic:** bool, int, real, str, loc (source code location)
- **Structured:** list, set, map, rel (n-ary relation), abstract data type, parse tree
- **Typesystem:**
  - Types can be parameterized (polymorphism)
  - All function signatures are explicitly typed
  - Inside function bodies types can be inferred (“comfort typing”)

# Manipulating ADTs

```
data NODE = int N  
          | f(NODE I, NODE J)  
          | g(NODE I, NODE J)  
          | h(NODE I, NODE J)  
          ;
```

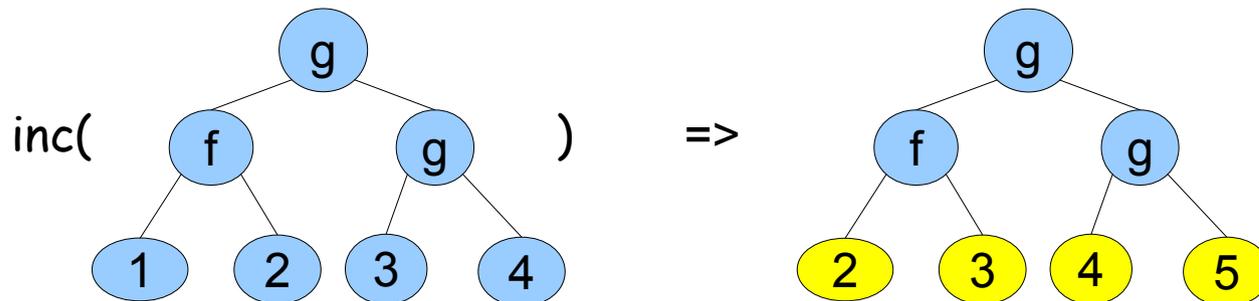


# Increment all integer nodes

```
public node inc(NODE T) {  
    return visit(T) {  
        case int N => N + 1;  
    };  
}
```

Visit traverses the complete tree and returns modified tree

Matching by cases and local subtree replacement

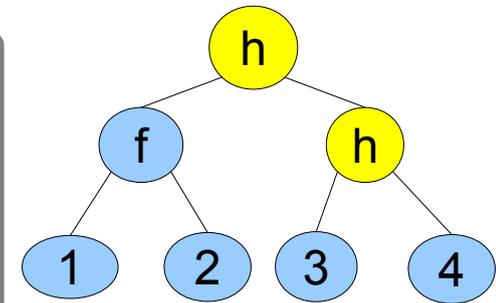


# Note

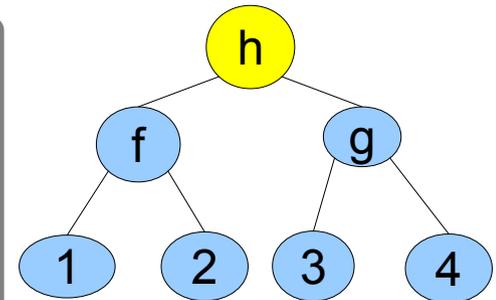
- This code is insensitive to the number of constructors
  - Here: 4
  - In Java or Cobol: hundreds
- Lexical/abstract/concrete matching
- List/set matching
- Visits can be parameterized with a strategy

# Full/shallow/deep replacement

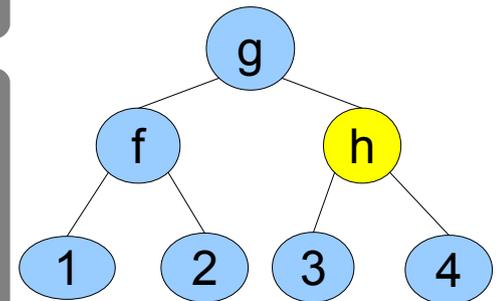
```
public NODE frepl(NODE T) {  
    return visit (T) {  
        case g(NODE T1, NODE T2) => h(T1, T2)  
    };  
}
```



```
public NODE srepl(NODE T) {  
    return top-down-break visit (T) {  
        case g(NODE T1, NODE T2) => h(T1, T2)  
    };  
}
```



```
public NODE drepl(NODE T) {  
    return bottom-up-break visit (T) {  
        case g(NODE T1, NODE T2) => h(T1, T2)  
    };  
}
```



# Counting words in a string

```
public int countLine(str S){
    int count = 0;
    for(/[a-zA-Z0-9]+/: S){
        count += 1;
    }
    return count;
}
```

`countLine( "Twas brillig, and the slithy toves" ) => 6`

# Finding date-related variables

```
module DateVars  
import Cobol;
```

```
set[Var] getDateVars(CobolProgram P){
```

```
  return {V | Var V : P,
```

```
    /^.*(date|dt|year|yr).*$ /i := toString(V)
```

```
  };
```

```
}
```

Import the COBOL grammar

Traverse P and  
return all occurrences  
of variables

Variable name  
matches a date-related  
heuristic

Put variables that  
match in result

# Computing Dominators

- A node  $M$  **dominates** other nodes  $S$  in the flow graph iff all path from the root to a node in  $S$  contain  $M$

```
public rel[&T, set[&T]] dominators(
    rel[&T,&T] PRED,    // control flow graph
    &T ROOT            // entry point
)
{
    set[&T] VERTICES = carrier(PRED);

    return { <V, (VERTICES - {V, ROOT})
            - reachX({ROOT}, {V}, PRED)> | &T V : VERTICES};
}
```

# Rascal Status

- An interpreter for the core language (currently except parsing and concrete pattern matching) is well underway.
- All the above examples (and many more!) run.
- Full language expected to be implemented mid 2009.

# Summary

- Generic Language Technology helps to build tools for language processing quickly
- Programming Environment Generators are an application of GLT
- ASF+SDF Meta-Environment is an Interactive Development Environment for language definitions *and* a Programming Environment Generator
- Rascal: integrated language for analysis & transformation

# Software renovation



# Domain-specific Languages



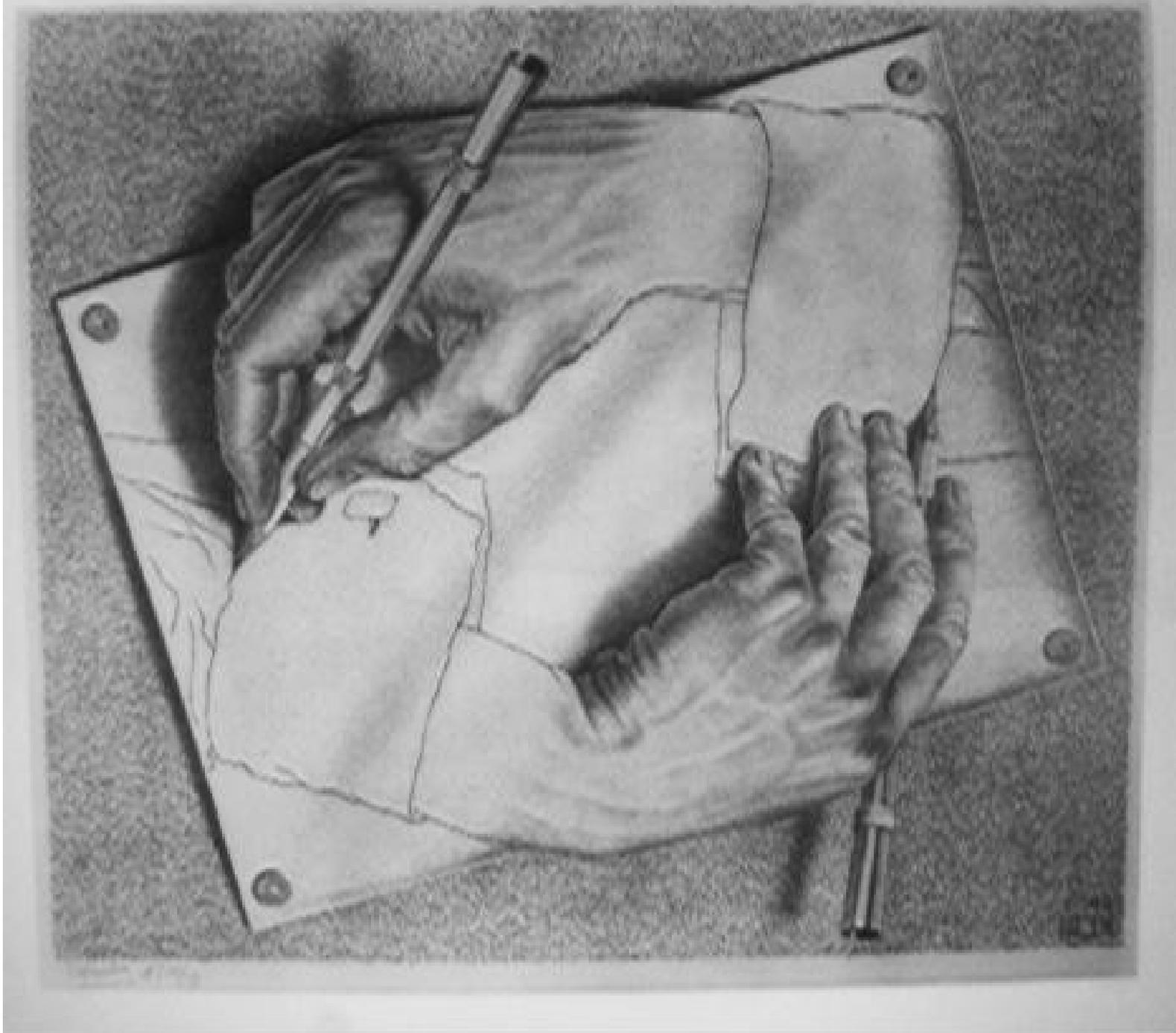
Generic Language Technology/ASF+SDF



Where are we relative to the  
grammarware challenges?



Baron Von Münchhausen  
pulling himself out of the  
swamp by his hair



M.C. Escher,  
Hands drawing  
themselves

# Related research

- New parsing algorithms
  - Efficient parsing of general CFGs
- Heuristics ambiguity checkers
  - Undecidable, but important for grammar composition
- API refactoring efforts
  - Essential for software evolution
- Grammar metrics
  - How good is a grammar
- Grammar testing

# Current Research in our Group

- DeFacto: easy fact extraction by annotating grammars
- Rascal implementation/integration in Eclipse
- Use cases:
  - Refactoring in Eclipse
  - Grammarware: towards a GrammarLab
    - Grammar refactoring
    - Grammar metrics
    - Ambiguity detection

# Grammarware Research Questions

- How to provide modular grammars?
- What is a “good” grammar?
- How to transform grammars (and maintain the link with dependent software)
- How to uncover grammars from grammar-dependent source code?
- How to test grammar-dependent functionality?
- How does the grammarware “lifecycle” look like and how can we support it?

# Further Reading/Questions

- Technology: [www.meta-environment.org](http://www.meta-environment.org)
- Home page: [www.cwi.nl/~paulk](http://www.cwi.nl/~paulk)

